

On Lattices of Ground Spaces

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Notation

- ▶ *-subalgebra \mathcal{A} of M_n , the complex n -by- n matrices
Hilbert-Schmidt inner product $\langle a, b \rangle = \text{tr}(a^* b)$
partial order $a \preceq b$ or $b \succeq a$ means that $b - a$ is positive semi-definite
- ▶ hermitian matrices $A = \{a \in \mathcal{A} : a^* = a\}$
energy operators/Hamiltonians
- ▶ projection lattice $\mathcal{P}(\mathcal{A}) = \{p \in \mathcal{A} : p^2 = p^* = p\}$
partially ordered by \preceq
- ▶ smallest eigenvalue $\lambda_0(a)$ of $a \in A$ ground state energy
- ▶ projection $p_0(a) \in \mathcal{P}(\mathcal{A})$ onto eigenspace of $a \in A$
corresponding to $\lambda_0(a)$ ground space projection

I) Motivation: Many-Body Physics

- ▶ $\mathcal{A} = \mathcal{B}^{\otimes N}$, N -fold tensor product of \mathcal{B}
- ▶ a **k -local Hamiltonian** is a sum of terms $a_1 \otimes \cdots \otimes a_N \in \mathcal{A}$ each summand having at most k non-scalar factors a_i
- ▶ vector space of k -local Hamiltonians $A_{(k)} \subset \mathcal{A}$

Local Hamiltonian Problem

(condensed matter physics, quantum chemistry)

- ▶ given $a \in A_{(k)}$ and $(\xi - \eta) \propto 1/\text{poly}(N)$, determine whether $\lambda_0(a) > \xi$ or $\lambda_0(a) < \eta$
- ▶ hard problem even on a quantum computer
(Zeng, Chen, Zhou, Wen [arXiv:1508.02595](https://arxiv.org/abs/1508.02595))

II) Geometric Meaning of Ground State Energy

- ▶ **state space** $\mathcal{M} = \{\rho \in \mathcal{A} : \rho \succeq 0, \text{tr}(\rho) = 1\}$ of \mathcal{A} , set of mixed states or density matrices (convex compact set)
- ▶ linear subspace $U \subset \mathcal{A}$, projection $\pi : \mathcal{A} \rightarrow \mathcal{A}$ onto U
- ▶ $\lambda_0(u) = \min_{\rho \in \mathcal{M}} \langle \rho, u \rangle = \min_{a \in \pi(\mathcal{M})} \langle a, u \rangle, u \in U$

$\lambda_0|_U$ is the **support function** of $\pi(\mathcal{M})$, that is the signed distance of the origin from supporting hyperplanes of $\pi(\mathcal{M})$

- ▶ $\pi(\mathcal{M}) \cong$ joint algebraic numerical range
- ▶ if $U = A_{(k)}$ is the space of k -local Hamiltonians, then $\pi(\mathcal{M}) \cong$ convex set of k -body marginals

III) Exposed Faces and Ground Space Projections

- ▶ convex subset $C \subset A$ (Euclidean space), subspace $U \subset A$,
 $\pi : A \rightarrow A$ projection onto U
- ▶ an **exposed face** of C is either \emptyset or a subset of the form
 $F_C(u) = \operatorname{argmin}_{x \in C} \langle x, u \rangle$ for some $u \in A$
- ▶ set of exposed faces $\mathcal{E}(C)$, ordered by inclusion, is a complete lattice whose infimum is the intersection
- ▶ $\mathcal{E}(\mathcal{M}) \cong \mathcal{P}(\mathcal{A})$ (Kadison)
isomorphism $\phi : \mathcal{P}(\mathcal{A}) \rightarrow \mathcal{E}(\mathcal{M})$
 $\phi(p) = \{\rho \in \mathcal{M} : s(\rho) \preceq p\}$ with support projection $s(\rho)$ of ρ
- ▶ **ground space projections** $\mathcal{P}(U) = \{p_0(u) : u \in U\} \cup \{0\}$
- ▶ $\mathcal{E}(\pi(\mathcal{M})) \cong \mathcal{P}(U)$
isomorphism $\pi \circ \phi : \mathcal{P}(U) \rightarrow \mathcal{E}(\pi(\mathcal{M}))$

IV) The Bizarre Topology of $\mathcal{P}(U)$

$$\mathcal{P}(U) = \{\lim_{\beta \rightarrow \infty} \frac{e^{-\beta u}}{\text{tr}(e^{-\beta u})} : u \in U\} \cup \{0\} \text{ is not closed!}$$

- ▶ despite the bizarre topology of $\mathcal{P}(U)$, information geometry extends from states of full support to non-maximal support

Information Geometry. (full support: Petz, Nagaoka 90's)

Gibbs family $\mathcal{F} = \{\frac{e^u}{\text{tr}(e^u)} : u \in U\}$

if $\rho, \sigma, \tau \in \mathcal{M}$, $\sigma, \tau \in \mathcal{F}$ and $(\rho - \sigma) \perp U$, then

- $\mathcal{S}(\rho, \tau) = \mathcal{S}(\rho, \sigma) + \mathcal{S}(\sigma, \tau)$ Pythagorean Theorem
- $\mathcal{S}(\rho, \sigma) < \mathcal{S}(\rho, \tau)$ if $\sigma \neq \tau$ Projection Theorem

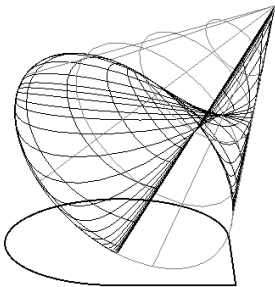
with **relative entropy** $\mathcal{S}(\rho, \sigma) = \text{tr } \rho(\log(\rho) - \log(\sigma))$

- ▶ extend \mathcal{F} by limits $\lim_{\beta \rightarrow \infty} \frac{e^{u-\beta v}}{\text{tr}(e^{u-\beta v})}$ $u, v \in U$, and certain other limit points of \mathcal{F} (W. and Knauf JMP '12, W. JCA '14)

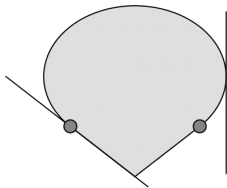
Examples. $\mathcal{A} = \text{span}\{\sigma_1 \oplus 0, \sigma_2 \oplus 0, i\sigma_3 \oplus 0, 0 \oplus 1\}$ real * -algebra, $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ Pauli matrices, and $\rho_\alpha = \frac{1}{2}(\mathbb{1} + \sin(\alpha)\sigma_1 + \cos(\alpha)\sigma_2)$ pure states

a) $U = \text{span}\{\sigma_1 \oplus 1, \sigma_2 \oplus 1\}$

$\mathcal{P}(U) = \{\rho_\alpha \oplus 0 : \alpha \in]\frac{\pi}{2}, 2\pi[\} \cup \{\rho_0 \oplus 1, 0 \oplus 1, \rho_{\pi/2} \oplus 1\}$

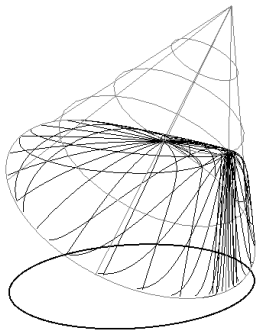


the missing closure points $\rho_0 \oplus 0$ and $\rho_{\pi/2} \oplus 0$ correspond to non-exposed points of $\pi(\mathcal{M})$



$$b) U = \text{span}\{\sigma_1 \oplus 0, \sigma_2 \oplus 1\}$$

$$\mathcal{P}(U) = \{\rho_\alpha \oplus 0 : \alpha \in]0, 2\pi[\} \cup \{\rho_0 \oplus 1\}$$



the missing closure point $\rho_0 \oplus 0$ of $\mathcal{P}(U)$ causes a **discontinuity** of the maximum-entropy **inference map**

$$\rho^* : \pi(\mathcal{M}) \rightarrow \mathcal{M}, x \mapsto \underset{\rho \in \mathcal{M}, \pi(\rho)=x}{\text{argmax}} S(\rho)$$

where $S(\rho) = -\text{tr} \rho \log(\rho)$ is the von Neumann entropy
notice that $\mathcal{F} \subset \text{image}(\rho^*)$

discontinuities of ρ^* for k -local Hamiltonians $U = A_{(k)}$ were discussed in the context of quantum phase transitions (Chen, Ji, Li, Poon, Shen, Yu, Zeng, Zhou New J. Phys. '15)

V) Sufficient Condition for Discontinuity of ρ^*

face function $F : \pi(\mathcal{M}) \rightarrow 2^{\pi(\mathcal{M})}$,

$x \mapsto \bigcup \{ \text{segment } s \subset \pi(\mathcal{M}) : x \in \text{relative interior of } s \}$

Theorem 1. (Rodman, Spitkovsky, Szkoła, W. JMP '16)

If $(x_i) \subset \pi(\mathcal{M})$ converges to x and $\rho^*(x) = \lim_{i \rightarrow \infty} \rho^*(x_i)$, then $\dim F(x) \leq \liminf_{i \in \mathbb{N}} \dim F(x_i)$.

Example a) $H_\epsilon = -K - \epsilon L$ for $K = \mathbb{1} \otimes \sigma_3 \otimes \sigma_3 + \text{cycl. perm.}$
and $L = \sigma_1 \otimes \mathbb{1} \otimes \mathbb{1} + \text{cycl. perm.}$

- ▶ for small $\epsilon > 0$ the ground space projection $p_0(H_\epsilon)$ has rank one, so $\pi(p_0(H_\epsilon))$ is an exposed point of $\pi(\mathcal{M})$
- ▶ $\lim_{\epsilon \searrow 0} p_0(H_\epsilon) = |GHZ\rangle\langle GHZ|$ and $\pi(|GHZ\rangle\langle GHZ|)$ is the midpoint of the segment $\pi(\{ \rho \in \mathcal{M} : s(\rho) \preceq |000\rangle\langle 000| + |111\rangle\langle 111| \})$

Theorem 1 $\implies \rho^*$ has no continuous extension from $\pi(p_0(H_\epsilon))_{\epsilon > 0}$ to $\epsilon = 0$

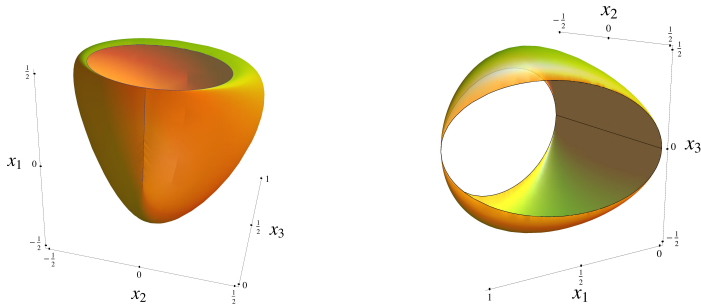
- ▶ unless it is unitarily equivalent to $\alpha|000\rangle + \beta|111\rangle$, $|\psi\rangle \in (\mathbb{C}^2)^{\otimes 3}$ is uniquely determined by its 2-body marginals (Linden, Popescu, Wootters PRL '02), so ρ^* is continuous at $\pi(|\psi\rangle\langle\psi|)$

Example b) Joint algebraic numerical range of $A_1, A_2, A_3 \in M_3$

$$W(A_1, A_2, A_3) = \{(\langle \rho, A_1 \rangle, \langle \rho, A_2 \rangle, \langle \rho, A_3 \rangle) : \rho \in \mathcal{M}\}$$

$W(A_1, A_2, A_3) \cong \pi(\mathcal{M})$ for $U = \text{span}\{A_1, A_2, A_3\}$

$$A_1 = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, A_2 = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, A_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}; A_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, A_2 = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, A_3 = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



(Szymański, W., Życzkowski arXiv:1603.06569 [math.FA])

VI) Variation Principle

positive cone $A^+ = \{a \in \mathcal{A} : a \succeq 0\}$, we define for $p \in \mathcal{P}(\mathcal{A})$ and complementary projection $p' = \mathbb{1} - p$ the pointed cone

$$K(p) = p'A^+p' \cap U = \{u \in U : p_0(u) \succeq p, u \succeq 0\}$$

an analysis of normal cones of $\pi(\mathcal{M})$ yields

Theorem 2. (W. arXiv:1704.07675 [math-ph])

Let $\mathbb{1} \in U$ and $p \in \mathcal{P}(\mathcal{A})$. Then $p \in \mathcal{P}(U)$ if and only if $p = \bigvee \{q \in \mathcal{P}(\mathcal{A}) : K(q) = K(p)\}$.

VII) Coatoms

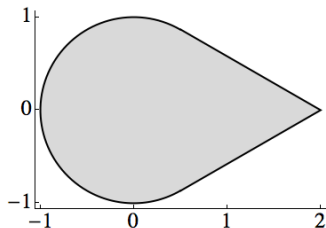
- ▶ a **coatom** of $\mathcal{P}(U)$ is a maximal element of $\mathcal{P}(U) \setminus \{\mathbb{1}\}$
- ▶ $\mathcal{P}(U)$ is **coatomistic**, every element of $\mathcal{P}(U)$ is an infimum of coatoms (W. arXiv:1606.03792 [math.MG], W. JCA '12)

Theorem 3. Let $\mathbb{1} \in U$, $|\pi(\mathcal{M})| > 1$, and $p \in \mathcal{P}(U)$. Then p is a coatom of $\mathcal{P}(U)$ if and only if $K(p)$ is a ray.

Notice: $\mathcal{P}(U) \cong \mathcal{E}(\pi(\mathcal{M}))$ is not atomistic!

Example: **numerical range**
 $\{(\langle \rho, A_1 \rangle, \langle \rho, A_2 \rangle) : \rho \in \mathcal{M}\}$ of

$$A_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix}, A_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$



VIII) Example 2-local 3-bit Hamiltonians

- ▶ (commutative) three-bit algebra $\mathcal{A} = (\mathbb{C}^2)^{\otimes 3} \cong \{X \rightarrow \mathbb{C}\}$ with 3-bit configuration space $X = \{0, 1\} \times \{0, 1\} \times \{0, 1\}$
- ▶ the coatoms of $\mathcal{P}(A_{(2)})$ are easily computable from Theorems 2 and 3, they are

000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001
010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011
100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101
110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111
000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001	000	001
010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011	010	011
100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101	100	101
110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111	110	111

- ▶ the lattice $\mathcal{P}(A_{(2)})$ is visualizable on the complete bipartite graph $K_{4,4}$

Open Problems

(non-commutative) three-qubit algebra $M_2 \otimes M_2 \otimes M_2 \cong M_8$

- ▶ can you compute the coatoms of $\mathcal{P}(A_{(2)})$ if \mathcal{A} is the three-qubit algebra?
- ▶ the lattice $\mathcal{P}(A_{(2)})$ is not closed in the norm topology if \mathcal{A} is the three-qubit algebra (Example 8.1 of Rodman, Spitkovsky, Szkoła, W. JMP '16)
- ▶ can you compute the closure of $\mathcal{P}(A_{(2)})$ if \mathcal{A} is the three-qubit algebra?

Thank you for the attention